



#10 CJR
M. Brunson
Patent 7/5/02

Attorney's Docket No. 033022-004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Yukio SHAKUDA

Application No.: 09/604,097

Filed: June 27, 2000

For: SEMICONDUCTOR LIGHT EMITTING
DEVICE AND MANUFACTURING
METHOD THEREOF

Group Art Unit: 2874

Examiner: Unassigned

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MAY 29 2002
TECHNOLOGY CENTER 2800

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Sir:

Enclosed is a copy of the Official Filing Receipt marked in red to show correction that is needed. The correction is as follows.

Under Continuing Data, please insert the following:

"Divisional of Application No. 09/012,790, filed January 23, 1998
Divisional of Application No. 08/528,308, filed September 14, 1995"

A copy of the transmittal letter for the Request for Filing Divisional Application is enclosed. Issuance of a corrected Official Filing Receipt is respectfully requested.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By:

Ellen Marcie Emas
Registration No. 32,131

P.O. Box 1404
Alexandria, Virginia 22313-1404
(703) 836-6620

Date: May 20, 2002

FILING RECEIPT



OC00000005337219

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/604,097	06/27/2000	2874	690	033022-004	9	9	1

Burns Doane Swecker & Mathis
1737 King Street Suite 500
Alexandria, VA 22314-2756

Date Mailed: 08/21/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Yukio Shakuda, Kyoto-shi, JAPAN;

Continuing Data as Claimed by Applicant

*Divisional of Application NO. 09/012,790, filed 4/23/98; divisional
of Application NO. 08/528,308, filed 09/14/95.*

Foreign Applications

JAPAN 219892/1994 09/14/1994
JAPAN 233179/1994 09/28/1994
JAPAN 233180/1994 09/28/1994
JAPAN 235013/1994 09/29/1994
JAPAN 235014/1994 09/29/1994

If Required, Foreign Filing License Granted 08/18/2000

Title

Semiconductor light emitting device and manufacturing method therefor

Preliminary Class

372

Data entry by : MASON, JAMES

Team : OIPE

Date: 08/21/2000



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REQUEST FOR FILING CONTINUATION/DIVISIONAL
APPLICATION UNDER 37 C.F.R. § 1.53(b)

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

COPY

Sir:

This is a request for filing a [] continuation [X] divisional application under 37 C.F.R. § 1.53(b) of pending Application No. 09/012,790 filed on January 23, 1998, for SEMICONDUCTOR LIGHT EMITTING DEVICE AND MANUFACTURING METHOD THEREOF, by the following named inventor(s):

(a) Full Name Yukio SHAKUDA

(b) Full Name _____

(c) Full Name _____

[X] The entire disclosure of the prior application from which a copy of the oath or declaration is supplied herewith is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

[] This application is being filed by less than all the inventors named in the prior application. In accordance with 37 C.F.R. 1.63(d)(2), the Commissioner is requested to delete the name(s) of the following person or persons who are not inventors of the invention being claimed in this application.

(a) Full Name _____

(b) Full Name _____

(c) Full Name _____

[] This application is being filed by more than all the inventors named in the prior application. In accordance with 37 C.F.R. 1.63(d)(2), the Commissioner is requested to add the name(s) of the following person or persons who are inventors of the invention being claimed in this application.

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21839

- (a) Full Name _____
(b) Full Name _____
(c) Full Name _____

1. ☒ Enclosed is a copy of the prior Application No. 09/012,790 as originally filed on January 23, 1998, including copies of the specification, claims, drawings and the executed oath or declaration as filed.
2. ☐ Enclosed is a revised prior application and a copy of the prior executed oath or declaration as filed. No new matter has been added to the revised application.
3. ☐ _____ statement(s) claiming small entity status ☐ are enclosed ☐ were filed in prior Application No. __, filed on __.
4. ☒ The filing fee is calculated below ☒ and in accordance with the enclosed preliminary amendment:

CLAIMS					
	NO. OF CLAIMS		EXTRA CLAIMS	RATE	FEE
Basic Application Fee					\$690.00 (101)
Total Claims	9	MINUS 20 =	0	x \$18.00 (103) =	
Independent Claims	1	MINUS 3 =	0	x \$78.00 (102) =	
If multiple dependent claims are presented, add \$260.00 (104)					
Total Application Fee					690.00
If small entity status is claimed, subtract 50% of Total Application Fee					
Add Assignment Recording Fee of if Assignment document is enclosed					
TOTAL APPLICATION FEE DUE					690.00

5. ☐ Charge \$ _____ to Deposit Account No. 02-4800 for the fee due.
6. ☒ A check in the amount of \$ 690.00 is enclosed for the fee due.

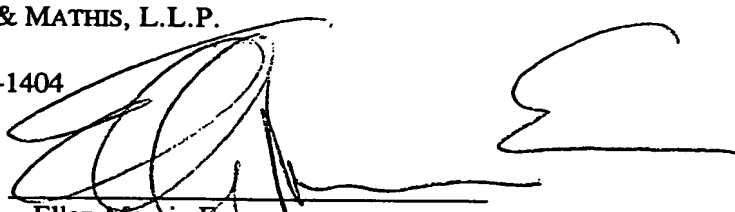
7. ☒ The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.
8. ☒ Cancel in this application original claims 1-12 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
9. ☒ Amend the specification by inserting before the first line the sentence: --This application is a ☐ continuation, ☒ divisional, of Application No. 09/012,790, filed January 23, 1998, which is a divisional application No. 08/528,308 filed September 14, 1995, now U.S. Patent o. 5,751,752.--
10. ☐ Transfer the drawings from the pending prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate of this paper is enclosed for filing in the prior application file. (May only be used if signed by person authorized under 37 C.F.R. § 1.138 and before payment of issue fee.)
11. ☒ New drawings are enclosed.
12. ☒ Priority of Application Nos. 6-219892 filed on September 14, 1994, 6-233179 filed on September 28, 1994, 6-233180 filed on September 28, 1994, 6-235013 filed on September 29, 1994, and 6-235014 filed on September 29, 1994 in Japan (country) are claimed under 35 U.S.C. § 119.
☒ The certified copy of the priority application
 ☐ is enclosed
 ☒ was filed on September 14, 1995 in prior Application No. 08/528,308, and was acknowledged in the Office Action dated June 20, 1996 (Paper No. 4).
 ☐ has not yet been filed.
13. ☒ A preliminary amendment is enclosed.
14. ☒ An Information Disclosure Statement is enclosed.
15. ☐ A General Authorization for Payment of Fees and Petitions for Extensions of Time is enclosed.
16. ☐ Also enclosed .
17. ☒ The power of attorney in the prior application is to Ellen Marcie Emas.
 - a. ☒ The power appears in the original papers in the prior application.
 - b. ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
 - c. ☐ Recognize as Associate Attorney .

- d. ☒ Address all future communications to: (May only be completed by applicant, or attorney or agent of record.)

Ellen Marcie Emas
BURNS, DOANE, SWECKER & MATHIS, L.L.P.
P.O. Box 1404
Alexandria, Virginia 22313-1404

Date: June 27, 2000
Date

By:


Ellen Marcie Emas
Registration No. 32,131

ADDRESS OF
SIGNATOR:

BURNS, DOANE, SWECKER & MATHIS, L.L.P.
P.O. Box 1404
Alexandria, Virginia 22313-1404
(703) 836-6620

- ☐ inventor(s)
☐ assignee of complete interest
☒ attorney or agent of record
☐ filed under 37 C.F.R. § 1.34(a)

Receipt



Patent
Attorney's Docket No. 033022-004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)
Yukio SHAKUDA) Group Art Unit: 2874
Application No.: 09/604,097) Examiner: Unassigned
Filed: June 27, 2000)
For: SEMICONDUCTOR LIGHT EMITTING)
DEVICE AND MANUFACTURING)
METHOD THEREOF)

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STATUS INQUIRY

Assistant Commissioner for Patents
Washington, D.C. 20231

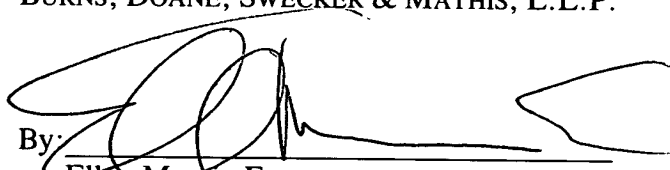
Sir:

Please advise, in writing, as to the current status of the above-captioned application.
Please note, this application is a divisional of U.S. Application No. 09/012,790 filed on
January 12, 1998, which is a divisional application of Application No. 08/528,308, filed
September 14, 1995.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By:


Ellen Marcie Emas

Registration No. 32,131

P.O. Box 1404
Alexandria, Virginia 22313-1404
(703) 836-6620

Date: May 20, 2002